

Item Number: 6

**NHS VALE OF YORK CLINICAL
COMMISSIONING GROUP**

GOVERNING BODY MEETING



Vale of York

Clinical Commissioning Group

Meeting Date: 3 April 2014

Title: NHS Vale of York CCG Assurance Update

Responsible Chief Officer and Title

Rachel Potts
Chief Operating Officer

Report Author and Title

Lynette Smith
Head of Integrated Governance

Strategic Priority

Ensuring the CCG has the capacity and processes to deliver its statutory duties.

Purpose of the Report

To present the CCG Assurance Framework as of 25 March 2014, highlighting the most significant risks to the delivery of the organisations aims and programmes of work. The current framework identifies 17 significant risks (scores of 15 or above) to the CCG, five significant risks have been reduced to Amber ratings since the last report. These relate to prescribing costs, winter resilience planning, Out of Hours procurement, assurance on provider responses to Care Quality Commission reports, and partner agreement to integration plans.

The 17 risks include one additional significant risk relating to the Better Care Fund programme:

- Potential Risk: Better Care Fund - The proposed plans do not deliver the activity shift required

Mitigating actions are in place to manage these risks. The increase in reported risk is in part due to the overview of the risk reporting process and a more systematic approach to recording risks across the organisation.

One significant risk has been realised, 2.6 'The main provider will exceed its target for Healthcare Acquired Infections resulting in increased harm to patients'. This is now classified as an 'issue' that is being addressed. The review work on this is being led by the Quality and Performance Team in conjunction with the hospital.

Recommendations

That the Governing Body notes the Assurance Framework and considers any additional mitigation action to address the significant risks.

Impact on Patients and Carers

The Assurance Framework identifies potential risks to the delivery of the NHS Vale of York CCG's aims and programmes of work, which include access to and the quality of health care services. It is important risks are managed and wherever possible mitigated to reduce any impact on patients.

Impact on Resources (Financial and HR)

If the identified risks relating to finance are realised, this will have an impact on the financial resource for the CCG moving forward.

Risk Implications

It is important to keep a focus on the risks identified within the CCG Assurance Framework to ensure all action is taken to reduce the likelihood of the risk occurring.

Equalities Implications

N/a

Sustainability Implications

N/a