

Vale of York CCG

Core Performance Dashboard

October 2013

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SUMMARY OF PERFORMANCE

Current assessment

Domain 1: Preventing people from dying prematurely



Domain 2: Enhancing quality of life for people with long term conditions



Domain 3: Helping people recover from episodes of ill health or injury



Domain 4: Ensuring that people have a positive experience of care



Domain 5: Providing a safe environment and protecting from harm



Domain 6: Enhancing quality of life for people with Mental Health conditions



VALE OF YORK CCG PERFORMANCE AND QUALITY INDICATORS

Domain	Indicator	Objective	Coverage	Period Covered	Planned Performance	Actual Performance	RAG Rating	Assurance
Domain 1: Preventing people from dying prematurely	Ambulance response times: percentage of Red 1 999 calls responded to within 8 minutes.	Minimum of 75% during 2013-14	CCG	Aug-13	75%	73.2%	A	The Ambulance Trust breached the target for patient incidents occurring within the CCG geographical area by two patients. An action plan is in development as part of the winter resilience plan.
	Ambulance response times: percentage of Red 2 999 calls responded to within 8 minutes.	Minimum of 75% during 2013-14	CCG	Aug-13	75%	69.9%	R	The Ambulance Trust breached the target for patient incidents occurring within the CCG geographical area by 60 patients. Analysis has identified three key influences that are likely to impact on this indicator. These include:- turnaround times in the Emergency Department; rural area response times; and seasonality. An action plan is in development as part of the winter resilience plan.
	Ambulance response times: percentage of Red 2 999 calls responded to within 19 minutes.	Minimum of 95% during 2013-14	CCG	Aug-13	95%	93.4%	A	The Ambulance Trust breached the target for patient incidents occurring within the CCG geographical area by 18 patients. An action plan is in development as part of the winter resilience plan.
	Percentage of patients urgently referred by a primary care professional for suspected cancer that are seen by a specialist within 14 days.	Minimum of 93% during 2013-14	CCG	Aug-13	93%	91.9%	A	In total, there were 55 breaches, however 49 were due to patient choice. The target would have been achieved if not due to patient choice.
	Percentage of patients referred by a primary care professional for treatment/investigation of breast symptoms (excluding those where cancer is suspected) who are seen by a specialist within 14 days.	Minimum of 93% during 2013-14	CCG	Aug-13	93%	91.8%	A	The 93% target was breached by 2 patients. In total, there were 8 breaches which were all reported as patient choice. This target would have been achieved if not due to patient choice.
	Percentage of patients that wait no more than 31 days to receive their second or subsequent stage of treatment for cancer where that treatment is drug therapy.	Minimum of 98% during 2013-14	CCG	Aug-13	98%	97.96%	A	Out of a total of 49 patients there were two breaches (one was due to waiting list and the second the Trust cancelled the surgery).
	Percentage of patients referred by an NHS Screening Service that wait no more than 62 days from the date of referral to receive their first stage of treatment for cancer.	Minimum of 90% during 2013-14	CCG	Aug-13	90%	75%	R	Out of a total of 8 patients, two patients waited more than 62 days. The reasons reported were one breach required diagnostic testing prior to the first treatment and the other breach was due to patient choice.
Domain 4: Ensuring that people have a positive experience of care	Number of patients still waiting for treatment where they have waited 52 weeks or more after referral by their GP or other healthcare professional.	Zero	CCG	Aug-13	0	3	R	The latest position reported by York Teaching Foundation Trust are that there are currently no over 52 week waits still waiting in October.
	Percentage of patients that waited over 6 weeks for a diagnostic test.	<1% of patients	CCG	Aug-13	<1%	1.1%	A	The less than 1% target was breached by 4 patients.
	Percentage of patients that wait no longer than 4 hours in Accident and Emergency (A&E) from arrival to either discharge or admission.		Host provider	Sep-13	95%	92.8%	A	The 95% target was breached by 878 incidents. This underperformance does not appear to be caused by either increased activity or patient flows through the department, however the cause appears to be influenced by addressing the backlog during busy periods out of hours. The staffing levels are therefore being reviewed.
	Proportion of GP referrals to first outpatient appointments booked using Choose and Book	70%	CCG	Aug-13	70%	24.6%	R	Choose and book has been discussed in the Governing Body and while performance against the target for use of the choose and book system is poor, the CCG is reasonably assured that GPs do discuss and offer choice to patients. Development of a referral support service will further this commitment.
Domain 5: Providing a safe environment and protecting from harm	Total number of Methicillin Resistant Staphylococcus Aureus (MRSA) bacteraemia cases allocated to the CCG.	No target	CCG	Aug-13	0	1	R	This breach relates to a community based incidence of MRSA. A root cause investigation is underway.
	Total number of Clostridium difficile cases allocated to the CCG.	No more than 71	CCG	Aug-13	No more than 6	7	R	Of the 7 Cdiff cases, 3 were hospital attributed and 3 community attributed in York, and 1 hospital attributed case occurred at Leeds. A new local forum is being established to triangulate primary, community and acute acquired Cdiff to better understand the root causes and share learning.
	Number of hospital attributed Clostridium difficile cases allocated to Provider	No more than 43	Host Provider	Aug-13	No more than 4	6	R	A new local forum is being established to triangulate primary, community and acute acquired Cdiff to better understand the root causes and share learning.
Domain 6: Enhancing quality of life for people with Mental Health conditions	Delayed Transfers of Care.	Maximum Delay of 7.5%	CCG	Aug-13	7.5%	11.2%	R	The rate of delayed discharges in August is 11.2%. This represents a small percentage reduction from 11.8% last month. Access to local specialist residential placements remains a concern. Leeds York Partnership Trust and Local Authority clinicians continue to work closely together to address the issue. Delays continue to be closely monitored by the Contract Management Board.
	Proportion of patients on Care Programme Approach (CPA) discharged from inpatient care who are followed up within 7 days.	95%	CCG	Aug-13	95%	93.5%	A	The current performance is at 94.1%. The service's clinical IT system cannot send out reminders to care coordinators who are at risk of breaching a CPA review. The Informatics Team is developing a report to enable clinical staff to better anticipate CPA review dates. Now established a new IT Group, with senior membership by the Informatics Service. The provision of timely and accurate activity reports will be one of the group's key objectives.
	Proportion of people who have depression and/or anxiety disorders who receive psychological therapies (IAPT).	4.1% for 2013-14	CCG	Jun/Aug-13	1%	-	R	The performance is not being accurately recorded due to a poor data quality extract and submission process provided by Leeds Yorkshire Partnership Foundation Trust. A reconciliation process is being addressed with the Trust. Accurate data should be available for the next report.

VALE OF YORK CCG PERFORMANCE AND QUALITY INDICATORS

Indicator	Objective	Coverage	Latest Performance				Year to Date
			Period Covered	Planned Performance	Actual Performance	RAG Rating	
Domain 1: Preventing people from dying prematurely							
Ambulance response times: percentage of Red 1 999 calls responded to within 8 minutes.	Minimum of 75% during 2013-14	CCG	Aug-13	75%	73.2%	A	71.9%
Ambulance response times: percentage of Red 2 999 calls responded to within 8 minutes.	Minimum of 75% during 2013-14	CCG	Aug-13	75%	69.9%	R	73.6%
Ambulance response times: percentage of Red 1 999 calls responded to within 19 minutes.	Minimum of 95% during 2013-14	CCG	Aug-13	95%	97.3%	G	97.3%
Ambulance response times: percentage of Red 2 999 calls responded to within 19 minutes.	Minimum of 95% during 2013-14	CCG	Aug-13	95%	93.4%	A	94.8%
Percentage of patients urgently referred by a primary care professional for suspected cancer that are seen by a specialist within 14 days.	Minimum of 93% during 2013-14	CCG	Aug-13	93%	91.9%	A	94.4%
Percentage of patients referred by a primary care professional for treatment/investigation of breast symptoms (excluding those where cancer is suspected) who are seen by a specialist within 14 days.	Minimum of 93% during 2013-14	CCG	Aug-13	93%	91.8%	A	93.9%
Percentage of patients that wait no more than 31 days from the date of the decision to undergo treatment to receive their first stage of treatment for cancer.	Minimum of 96% during 2013-14	CCG	Aug-13	96%	98.1%	G	98.6%
Percentage of patients that wait no more than 31 days to receive their second or subsequent stage of treatment for cancer where that treatment is drug therapy.		CCG	Aug-13	98%	97.96%	A	99.2%
Percentage of patients that wait no more than 31 days to receive their second or subsequent stage of treatment for cancer where that treatment is surgery.	Minimum of 94% during 2013-14	CCG	Aug-13	94%	97.6%	G	96.4%
Percentage of patients that wait no more than 31 days to receive their second or subsequent stage of treatment for cancer where that treatment is radiotherapy.	Minimum of 94% during 2013-14	CCG	Aug-13	94%	100.0%	G	99.5%
Percentage of patients urgently referred by a primary care professional that wait no more than 62 days from the date of referral to receive their first stage of treatment for cancer.	Minimum of 85% during 2013-14	CCG	Aug-13	85%	88.2%	G	87.5%
Percentage of patients referred by an NHS Screening Service that wait no more than 62 days from the date of referral to receive their first stage of treatment for cancer.	Minimum of 90% during 2013-14	CCG	Aug-13	90%	75.0%	R	94.1%
Percentage of patients that have their priority upgraded by a consultant that suspects cancer that wait no more than 62 days to receive their first stage of treatment.	Minimum of 90% during 2013-14	CCG	Aug-13	90%	n/a	G	100%
Domain 1 - Overall Red/Amber/Green (RAG) rating						R	

VALE OF YORK CCG PERFORMANCE AND QUALITY INDICATORS

Indicator	Objective	Coverage	Latest Performance				Year to Date
			Period Covered	Planned Performance	Actual Performance	RAG Rating	
Domain 2: Enhancing quality of life for people with long term conditions							
Proportion of people with a long term condition who are "supported by people providing health and social care services to manage their condition".	Top Quartile	CCG	Q4 12/13	Top Quartile	88.6%	G	-
Unplanned hospitalisation for chronic ambulatory care sensitive conditions (adults).	Same or fewer admissions	CCG	Apr 2012-Mar 2013	Same or fewer admissions	Same	G	-
Unplanned hospitalisation for asthma, diabetes and epilepsy in under 19's	Same or fewer admissions	CCG	Apr 2012-Mar 2013	Same or fewer admissions	Same	G	-
Domain 2 - Overall RAG rating						G	

Domain 3: Helping people recover from episodes of ill health or injury							
Emergency admissions for acute conditions that should not usually require hospital admission.	Same or fewer admissions	CCG	Apr 2012-Mar 2013	Same or fewer admissions	Better	G	-
Percentage of stroke patients that spend at least 90% of their time in hospital on a dedicated stroke ward.	Minimum of 80%	Host ProvComm	Q4 12/13	80%	83.9%	G	85.7%
Percentage of non-admitted patients, who have a Transient Ischaemic Attack and a higher risk of stroke, who are treated (including all relevant investigations) within 24 hours of contacting a healthcare professional.	Minimum of 60%	Host ProvComm	Q4 12/13	60%	77.6%	G	80.8%
Domain 3 - Overall RAG rating						G	

Domain 4: Ensuring that people have a positive experience of care							
Percentage of patients admitted for hospital treatment within 18 weeks of referral by their GP or other healthcare professional.	Minimum of 90% during 2013-14	CCG	Aug-13	90%	91.4%	G	-
Percentage of non-admitted patients treated by a consultant (or consultant led service) within 18 weeks of referral by their GP or other healthcare professional.	Minimum of 95% during 2013-14	CCG	Aug-13	95%	95.5%	G	-
Percentage of patients still waiting for treatment within 18 weeks of referral by their GP or other healthcare professional.	Minimum of 92% during 2013-14	CCG	Aug-13	92%	92.7%	G	-
Number of patients still waiting for treatment where they have waited 52 weeks or more after referral by their GP or other healthcare professional.	Zero	CCG	Aug-13	0	3	R	-
Percentage of patients that waited over 6 weeks for a diagnostic test.	<1% of patients	CCG	Aug-13	<1%	1.11%	A	-
Percentage of patients that wait no longer than 4 hours in Accident and Emergency (A&E) from arrival to either discharge or admission.	Average of 95% over 2013-14	Host provider	Sep-13	95%	92.8%	A	-
Mixed Sex Accommodation Breaches per 1000 finished consultant episodes (FCE) (No of breaches)	<1 per 1000 FCEs	CCG	Aug-13	<1	0.3 (2)	G	-
Proportion of GP referrals to first outpatient appointments booked using Choose and Book	70%	CCG	Aug-13	70%	24.6%	R	-
Domain 4 - Overall RAG rating						R	

VALE OF YORK CCG PERFORMANCE AND QUALITY INDICATORS

Indicator	Objective	Coverage	Latest Performance				Year to Date
			Period Covered	Planned Performance	Actual Performance	RAG Rating	
Domain 5: Providing a safe environment and protecting from harm							
Total number of Methicillin Resistant Staphylococcus Aureus (MRSA) bacteraemia cases allocated to the CCG.	0	CCG	Aug-13	0	1	R	4
Total number of Clostridium difficile cases allocated to the CCG.	No more than 71	CCG	Aug-13	No more than 6	7	R	38
Number of hospital attributed Clostridium difficile cases allocated to Provider	No more than 43	Host Provider	Aug-13	No more than 4	6	R	31
Percentage of adult inpatients who have a Venous Thrombosis Embolism (VTE) risk assessment on admission.	90%	Host provider	Jul-13	90%	95.5%	G	94.9%
Summary Hospital Mortality Index	As expected or better	Host provider	Q3 12/13	As expected or better	As expected	G	As expected
Total Never Events reported	0	CCG	Sep-13	0	0	G	0
Total Number of Serious Incidents	-	CCG	Sep-13	-	3		20
Domain 5 - Overall RAG rating						R	

VALE OF YORK CCG PERFORMANCE AND QUALITY INDICATORS

Indicator	Objective	Coverage	Latest Performance				Year to Date
			Period Covered	Planned Performance	Actual Performance	RAG Rating	
Domain 6: Enhancing quality of life for people with Mental Health conditions							
Delayed Transfers of Care.	Maximum Delay of 7.5%	CCG	Aug-13	7.5%	11.2%	R	-
Number of newly diagnosed cases of first episode psychosis for whom early intervention is provided.		CCG	Aug-13	18	21	G	21
Proportion of admissions to inpatient services that were gate kept by the crisis resolution home treatment teams.	95%	CCG	Aug-13	95%	100%	G	-
Proportion of patients on Care Programme Approach (CPA) discharged from inpatient care who are followed up within 7 days.	95%	CCG	Aug-13	95%	93.5%	A	-
Proportion of people who have depression and/or anxiety disorders who receive psychological therapies (IAPT).	4.1% for 2013-14	CCG	Jun/Aug-13	1.03%	-	R	-
Proportion of IAPT service users who complete treatment who are moving to recovery.	50%	CCG	Jun/Aug-13	50%	64.4%	G	-
Number of Mental Health episodes of Mixed Sex Accommodation (MSA).	0	CCG	Aug-13	0	0	G	0
Number of Mental Health Never events.	0	CCG	Aug-13	0	0	G	0
Domain 6: - Overall RAG rating						R	

KEY PERFORMANCE INDICATORS (KPI's) - SUPPORTING NOTES

RAG (red/amber/green) rated performance for latest performance

Green = achieved planned performance for current period

Amber = within 5% of planned performance for current period

Red = under-performing against planned performance by more than 5%

For items based on quartiles, Green = Upper quartile, Amber = Inter-quartile range, and Red = Lower quartile

For items based on trend, Green = gradient in line with objective, Amber = gradient is "flat", Red = gradient is opposite to objective.

For mortality, Green = either "as expected" or "lower than expected", Red = "higher than expected".

Key Performance Indicator (KPI) level scoring

The RAG rating for each indicator is converted into a score for each item: Green = 3 points, Amber = 1 point, and Red = 0 points.

However, in some cases the indicators are grouped to provide a better balance between different areas. The scoring matrix column indicates where groups exist.

In these cases, the combined score is derived from a matrix of possible combinations of RAG. The combinations are as follows:

Red in any individual indicator results in Red overall for the group

If two indicators are grouped, then a Green and Amber combination results in Amber overall.

If three indicators are grouped, then if two indicators are Amber the group is Amber, if one indicator is Amber, the group is Green.

Groups where the individual indicators are wholly Green, Red or Amber, retain the same overall RAG.

Domain Level Scoring

The scores are summed across the Performance and Quality categories and expressed as a percentage of the total maximum possible score for each domain.

As there are a varied number of indicators within each domain, it is necessary to apply different scoring criteria to attribute an overall RAG rating which is presented in the summary assessment.

The scoring criteria is as follows:-

Domains 1, 4 & 6 **Green** = 90% or higher
 Amber = 75% or higher, but less than 90%
 Red = Less than 75%

Domains 2, 3 **Green** = 80% or higher
 Amber = 65% or higher, but less than 80%
 Red = Less than 65%

Domain 5 **Green** = 85% or higher
 Amber = 70% or higher, but less than 85%
 Red = Less than 70%

Coverage

The data presented is available in a number of formats regarding coverage. The following sets out a brief explanation of the terms used:

CCG - the data are based on the registered patients of the relevant CCG practices, regardless of provider.

Patch - this is an area that approximates to the CCG geographical coverage, normally based on the former PCG/PCT "patches" e.g. Selby & York.

Host Provider - this data relates to all the patients of a provider "hosted" by the CCG regardless of which practice they are registered with e.g. YHFT is hosted by VoYCCG.

Host ProvComm - this data relates to the Host provider as described above, but is limited to patients that are the responsibility of NHS North Yorkshire and York (not exclusively the CCG).

Combined York/Scarb - from July-12 onwards Scarborough General Hospital Trust merged with York Foundation Trust and therefore official data is submitted as York Foundation Trust only.

FINANCE - EXECUTIVE SUMMARY

EXECUTIVE SUMMARY FOR AUGUST 2013/14 (MONTH 6)

This report presents the financial position for Vale of York CCG to the end of September 2013 (Month 6).

Allocations

The table below records the changes to allocations since month 5.

	Programme Costs £'000	Running Costs £'000
Allocation at Month 5	356,575	8,333
Allocation changes in month:		
GP IT Funding	1,071	
Specialised services	-439	
Mid Yorks Critical Care	113	
PCT deficit re - calculation	5	
Sub Total	357,235	8,330
Transfer from Running Costs to Programme Costs	1,733	-1,733
Allocation at Month 6	359,058	6,597

Other than a minor reduction to the 2012/13 debt repayment of £5k, the other adjustments in month are intended to be financially neutral on the CCG's income and expenditure bottom line as there is a corresponding impact in the actual operating position.

GP IT Funding - In preparation for the new commissioning framework introduced in 2013/14 funding for the provision of GP IT Systems was transferred from CCG predecessor organisations (PCT's) to NHS England; CCG's however remained both financially and operationally responsible for commissioning such services and the transfer of £1.1m to the CCG merely reflects the CCG's on-going responsibilities to be passed over to the CSU.

Specialised Services - Two adjustments have been actioned in month, effective from 1st April 2013. In regard to Critical Care the CCG will now be financially responsible for the provision of services provided by Mid Yorkshire Hospitals NHS Trust, whilst a further transfer of resources to out of area Specialised Services has also been actioned.

Expenditure – Programme Costs

The overall financial position on Programme costs to Month 6 shows an under spend of £2.9m. This includes £1.0m towards delivery of the revised 0.57% surplus.

A mid-year financial review has been undertaken covering contracts, reserves expenditure and allocations. The review has confirmed that the CCG remains on target to deliver financial balance and a 0.57% surplus at the year end.

Prior to undertaking this review, financial pressures which could not be anticipated when the 2013/14 Plan was re-balanced had emerged e.g. overtrading on York Acute FT, Prescribing overspend and it was no longer certain that financial balance and a recurrent surplus could be achieved.

FINANCE - EXECUTIVE SUMMARY (continued)

The Programme Cost statement reflects the outcome of this review and the key issues included in the restatement are discussed below:

Acute Services – York Acute FT was previously forecast to over perform by £3.0m against the original contract value of £166m. £2m of this related to the original QIPP plans that will no longer deliver and this has now been adjusted back into the contract value. The balance, £1.0m, is the genuine over trade after taking account of contract challenges and penalties. However, a further £1.2m of new QIPP schemes have now been forecast and off-set the over trade.

Mental Health – This budget area is now forecasting a benefit of £2.6m. Almost half of this relates to the legacy agreement with Leeds Partnership accounting for £1.2m, which has now been postponed until 2014/15. A further £300k is from the delay in implementing the new Section 136 suite. The balance relates to a forecast QIPP of £0.3m with regards to out of area placements achieved through stronger negotiation on package prices and repatriation of patients currently placed out of the area. The remainder is based on the information received to date that suggests we are having to accommodate fewer patients outside of the main NHS contracts. We anticipate this trend should continue, but there is a risk it could increase and the CCG will need to fund the most appropriate placement subject to patient demand.

Continuing Care – The current year budget was set using a forecast generated from expenditure to Month 8 in 2012/13. Following an in-depth review it has been confirmed that this forecast overstates the budget requirement for Continuing Care, consequently a significant underspend is being recorded and is forecast to continue to the year end.

Prescribing – The original overspend on prescribing has been extensively analysed and it has been discovered that the CCG was being charged the cost of drugs and vaccines, which are no longer the responsibility of the CCG to fund: Funding was transferred to Local Authorities alongside the Public Health function. A recharge for these drugs and vaccines is now being made reducing the previous forecast by £600k. The remaining overspend remains the responsibility of the CCG and originates from the cost of centrally prescribed drugs. This will be picked up as a cost pressure in the budget setting process for 2014/15.

QIPP – Achievement of the 0.57% surplus is dependent upon delivering £1.5m of additional QIPP savings by 31st March. Schemes totalling £1.2m have been identified and included in the York Acute FT forecast outturn with a further £300k against Specialist Mental Health as described above.

There remain significant financial risks within the system which are summarised below:

- York Acute FT and Other Acute Contracts – Access to flex / freeze data to validate the forecast outturn, apply contract challenges and penalties and manage future adverse trends.
- Specialist Mental Health services – The budget was set based upon the Month 8 forecast outturn. It is not clear whether the under spend is arising from the budget being overstated, a change in patient flows or the ledger not fully capturing all commitments.
- Prescribing – The prescribing QIPP savings are phased towards the end of the year. The current forecast is therefore based on the assumption that the planned savings can be delivered.
- Specialised Services – Since completing the mid-year financial review, two adjustments have been actioned in respect of Specialised Services backdated to 1st April. Although intended to be financially neutral, this is not certain and further analysis is underway.

Expenditure – Running Costs

The CCG continues to underspend against its running cost budget and is £41k underspent to Month 6, attributable solely to underspends on the pay budgets. A breakeven position is currently forecast for the year end. A review of the non-pay budgets and forecast expenditure will need to be undertaken. At the start of the financial year, it was only possible to set non-pay budgets based on estimates of likely expenditure, unlike the pay budgets which were based on actual agreed structures.

Aged Creditors

The CCG's compliance with the Code of Better Payment Practice (COBP) remains mixed. The target is to pay all non NHS creditors within 30 days of receipt of goods or a valid invoice (whichever is later) unless other payment terms have been agreed. The CCG is compliant with the COBP in paying 99.6% of NHS Creditors by value within the target time, however, performance is below target when considering the number of invoices paid within target. In regard to non NHS Creditors, the CCG is not compliant. The value of outstanding creditors has increased from £2.2m at Month 5 to £28.7m at month 6. This is a significant increase, but is due entirely to the early submission of October invoices, Month 7, by Scarborough & Ryedale CCG, York Acute FT and Leeds Teaching Hospitals. The increase in outstanding creditors is not therefore an issue of concern with regards to the actual invoice processing and will be managed within the cash plan.

FINANCE PROGRAMME COSTS

Trading Position and Reserves

Area	Cumulative To Date			Forecast Outturn		
	Budget £000	Actual £000	Variance £000	Budget £000	Actual £000	Variance £000
Commissioned Services						
Acute Services						
York Teaching Hospitals NHS Foundation Trust	84,182	84,777	595	168,364	168,355	-9
Yorkshire Ambulance Service NHS Trust	5,714	5,714	0	11,429	11,429	0
Leeds Teaching Hospitals NHS Trust	3,617	3,617	0	7,234	7,234	0
Hull and East Yorkshire Hospitals NFT	1,898	1,796	-102	3,796	3,592	-204
Harrogate and District NHS Foundation Trust	668	669	0	1,337	1,337	0
Mid Yorkshire Hospitals NHS Trust	633	806	173	1,267	1,613	346
South Tees NHS Foundation Trust	537	537	0	1,074	1,022	-52
North Lincolnshire & Goole	375	263	-112	750	530	-220
Non Contracted Activity	2,027	1,752	-274	4,054	3,798	-256
Ramsay	3,870	4,039	169	7,740	7,812	72
Nuffield Health	1,470	1,586	115	2,941	3,053	112
Other Private Providers	302	221	-81	604	604	0
Sub Total	105,295	105,778	484	210,590	210,379	-211
Mental Health Services						
Leeds & York Partnerships NHS Foundation Trust	15,149	14,424	-725	30,299	28,850	-1,449
Humber NHS Foundation Trust	1,125	1,125	0	2,249	2,200	-49
Tees Esk and Wear Valleys NHS Foundation Trust	661	661	0	1,323	1,387	65
Mental Health Specialist Services	2,291	1,296	-994	4,581	3,427	-1,154
Other Mental Health	129	129	0	258	258	0
Sub Total	19,355	17,636	-1,719	38,710	36,123	-2,588
Community Services						
York Hospitals NHS Foundation Trust - Community	8,936	8,936	0	17,522	17,522	0
York Hospitals NHS Foundation Trust - MSK	1,054	1,054	0	2,107	2,107	0
Harrogate and District NHS Foundation Trust - Community	3,977	3,980	3	7,954	7,954	0
Hospices	596	600	4	1,192	1,192	0
Other Community	22	22	0	44	44	0
Sub total	14,585	14,592	7	28,819	28,819	0
Other Services						
Continuing Care	11,620	9,203	-2,417	23,240	21,528	-1,712
Funded Nursing Care	2,228	1,983	-245	4,457	4,457	0
Partnerships	1,074	1,074	0	2,149	2,149	0
Patient Transport - Yorkshire Ambulance Service NHS Trust	972	971	-2	1,945	1,945	0
GP IT	536	536	0	1,071	1,071	0
Property Services	129	129	0	295	295	0
NHS 111	132	332	200	264	665	401
Other Services	90	91	1	180	192	12
Sub total	16,782	14,319	-2,463	33,601	32,302	-1,299
Primary Care						
Prescribing	23,010	23,477	467	45,289	46,559	1,270
Enhanced Services	723	722	0	1,445	1,445	0
Oxygen	151	136	-15	301	276	-25
Other Primary Care	150	130	-20	300	300	0
Sub Total	24,033	24,465	432	47,335	48,580	1,245
Trading Position	180,049	176,790	-3,259	359,054	356,202	-2,852
Reserves	0	0	0	800	800	0
Contingency	520	0	-520	1,040	0	-1,040
Unallocated QIPP	-1,946	0	1,946	-3,892	0	3,892
Reserves	-1,426	0	1,426	-2,052	800	2,852
Financial Position	178,623	176,790	-1,833	357,002	357,002	0

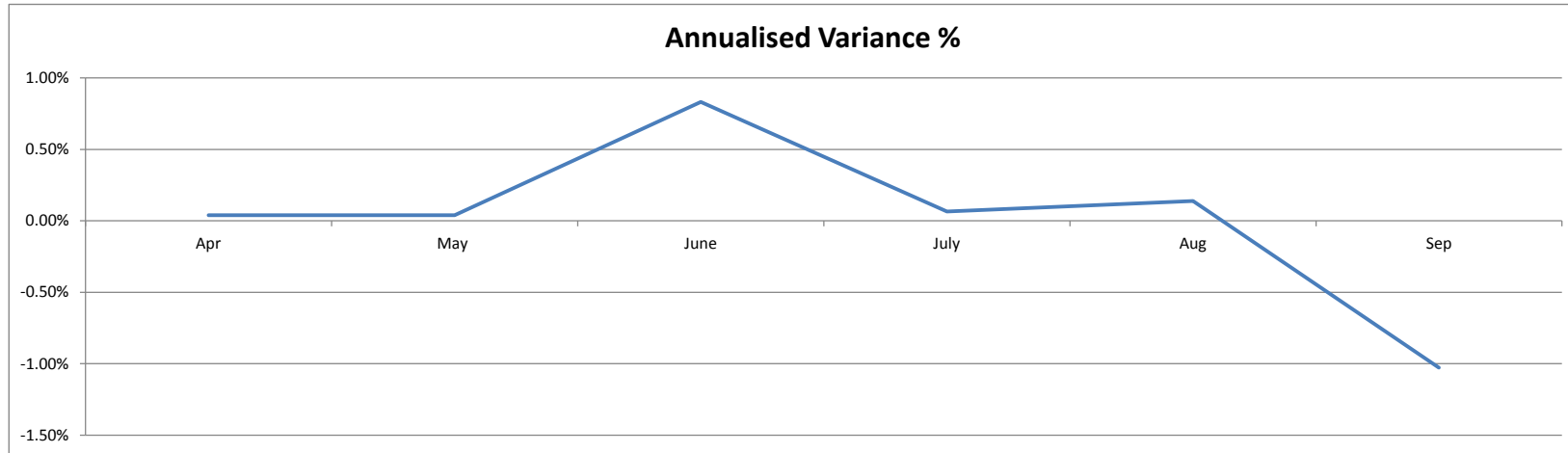
Requirement to Generate Recurrent Surplus

Surplus - recurrent	1,028	0	-1,028	2,056	0	-2,056
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Overall Financial Position

CCG Financial Position Including recurrent Surplus	179,651	176,790	-2,861	359,058	357,002	-2,056
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FINANCE PROGRAMME RUN RATE



	Apr £'000	May £'000	June £'000	July £'000	Aug £'000	Sept £'000	Oct £'000	Nov £'000	Dec £'000	Jan £'000	Feb £'000	Mar £'000	Total £'000
Planned Spend													
Per Month	29,278	29,278	30,026	30,177	29,610	30,255							357,002
Cumulative	29,278	58,555	88,581	118,758	148,368	178,623							
Actual spend													
Per Month	29,289	29,289	30,746	29,511	29,739	28,216							
Cumulative	29,289	58,578	89,324	118,835	148,574	176,790							
Variance against plan													
Variance in month - £'000	12	12	720	(666)	129	(2,039)							
Cumulative Variance - £'000	12	23	743	77	206	(1,833)							
Variance in Month %	0.04%	0.04%	2.47%	0.26%	0.70%	-6.06%							
Annualised Variance %	0.04%	0.04%	0.83%	0.06%	0.14%	-1.03%							

FINANCE PROGRAMME COSTS BY MONTH

Area	Cumulative actuals by month												
	Apr - 13	May - 13	Jun - 13	Jul - 13	Aug - 13	Sept - 13	Oct - 13	Nov - 13	Dec - 13	Jan - 14	Feb - 14	Mar - 14	Year to Date
	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000	£000
Commissioned Services													
Acute Services													
Yorkshire Ambulance Service NHS Trust	13,219	13,219	15,792	14,048	14,228	14,272							84,777
Leeds Teaching Hospitals NHS Trust	910	910	1,038	952	952	952							5,714
Hull and East Yorkshire Hospitals NFT	559	559	691	669	536	603							3,617
Harrogate and District NHS Foundation Trust	325	325	154	338	377	276							1,796
Mid Yorkshire Hospitals NHS Trust	109	109	98	71	156	125							669
South Tees NHS Foundation Trust	91	91	91	197	177	158							806
North Lincolnshire & Goole	102	102	54	108	74	98							537
Non Contracted Activity	63	63	63	(84)	119	41							263
Ramsay	283	283	306	66	162	653							1,752
Nuffield Health	609	609	724	861	494	742							4,039
Other Private Providers	272	272	190	314	291	248							1,586
Sub Total	16,758	16,758	19,307	17,191	17,961	17,804	0	0	0	0	0	0	105,778
Mental Health Services													
Leeds Partnerships NHS Foundation Trust	2,714	2,714	2,559	2,723	2,709	1,005							14,424
Tees Esk and Wear Valleys NHS Foundation Trust	116	116	116	115	116	547							1,125
Humber NHS Foundation Trust	91	91	369	183	183	(255)							661
Mental Health Specialist Services	0	0	0	780	324	192							1,296
Other Mental Health	7	7	62	59	(111)	105							129
Sub Total	2,927	2,927	3,106	3,861	3,221	1,594	0	0	0	0	0	0	17,636
Community Services													
York Hospitals NHS Foundation Trust	1,477	1,477	1,427	1,461	1,460	1,636							8,936
York Hospitals NHS Foundation Trust - MSK	166	166	195	185	166	175							1,053
Harrogate and District NHS Foundation Trust	764	764	295	863	643	652							3,980
Hospices	99	99	99	292	4	6							600
Other Community	0	0	0	0	0	22							22
Sub Total	2,505	2,505	2,016	2,800	2,273	2,491	0	0	0	0	0	0	14,591
Other Services													
Continuing Care	1,938	1,938	1,967	571	1,493	1,295							9,203
Funded Nursing Care	371	371	371	418	122	329							1,983
Partnerships	384	384	391	(490)	171	234							1,074
Patient Transport - Yorkshire Ambulance Service NHS Trust	154	154	116	174	191	183							971
GP IT	0	0	0	0	0	536							536
Property Services	0	0	0	86	22	22							129
NHS 111	0	0	0	222	55	55							332
Other Services	0	0	0	0	0	91							91
Sub Total	2,848	2,848	2,845	980	2,054	2,744	0	0	0	0	0	0	14,319
Primary Care													
Prescribing	3,999	3,999	3,541	4,445	4,059	3,434							23,477
Local Enhanced services / Other primary Care	144	144	72	121	120	120							722
Oxygen	25	25	25	13	26	23							136
Other Primary Care	0	0	0	100	25	5							130
Sub Total	4,168	4,168	3,638	4,679	4,230	3,582	0	0	0	0	0	0	24,465
Reserves													0
Sub Total	0	0	0	0	0	0	0	0	0	0	0	0	0
CCG Financial Position net of required recurrent surplus	29,206	29,206	30,912	29,511	29,739	28,216	0	0	0	0	0	0	176,790

FINANCE RUNNING COSTS & RUN RATE

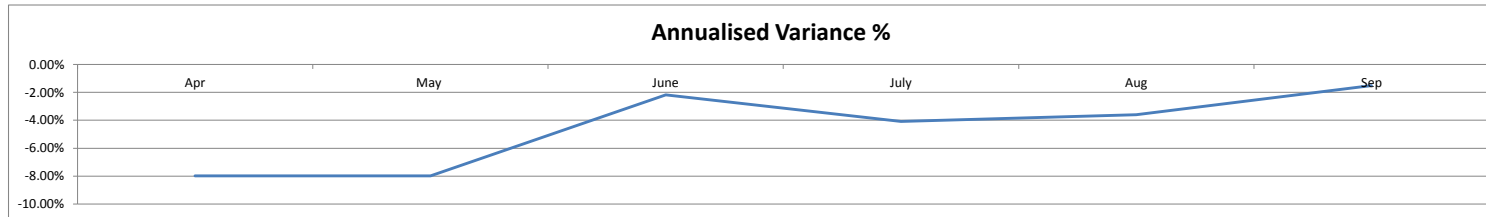
RUNNING COSTS

Area	Cumulative to Date			Forecast		
	Budget £000	Actual £000	Variance £000	Budget £000	Actual £000	Variance £000
Expenditure						
Pay Budgets	1,351	1,309	(41)	2,726	2,726	0
Non Pay Budgets						
- North Yorkshire & Yorkshire CSU	918	926	7	1,837	1,837	0
- Other Non Pay Budgets	442	435	(7)	836	836	0
Contingency	0	0	0	833		833
Transfer to Programme	0	0	0	(833)		(833)
Reserve	0	0	0	2,098	1,198	900
Transfer to Programme	0	0	0	(900)		(900)
Total expenditure	2,711	2,670	(41)	6,597	6,597	0
Total	2,711	2,670	(41)	6,597	6,597	0

RECONCILIATION OF RUNNING COST ALLOCATION

Notified allocation at month 4	8,330
Less - Resource transfer to Programme Costs	
- Contingency	(833)
- Reserve	(900)
Total - Running Costs	6,597

RUNNING COSTS RUN RATE



	Apr £'000	May £'000	June £'000	July £'000	Aug £'000	Sept £'001	Oct £'002	Nov £'000	Dec £'000	Jan £'000	Feb £'000	Mar £'000
Planned Spend												
Per Month	454	454	454	433	475	440						
Cumulative	454	909	1,363	1,796	2,271	2,711						
Actual spend												
Per Month	418	418	497	389	468	480						
Cumulative	418	836	1,333	1,722	2,190	2,670						
Variance against plan												
Variance in month - £'000	36	36	43	44	7	40						
Cumulative Variance - £'000	36	73	30	74	81	41						
Variance in Month %	-7.98%	-7.98%	9.41%	-10.21%	-1.47%	9.14%						
Annualised Variance %	-7.98%	-7.98%	-2.18%	-4.07%	-3.59%	-1.51%						

FINANCE COMPLIANCE WITH CODE OF BETTER PAYMENT PRACTICE

NON-NHS CREDITORS

Month	Total paid n	Invoices paid on time n	paid within target %	total paid £	value paid on time £	paid within target %
Apr-13	51	51	100.0%	985,004	985,004	100.0%
May-13	134	130	97.0%	1,070,939	1,059,594	98.9%
Jun-13	183	161	88.0%	2,068,828	2,014,690	97.4%
Jul-13	199	175	87.94%	2,184,748	2,099,334	96.09%
Aug-13	353	297	84.14%	2,339,380	2,172,621	92.87%
Sep-13	182	161	88.46%	1,099,704	1,004,410	91.33%
Oct-13						
Nov-13						
Dec-13						
Jan-14						
Feb-14						
Mar-14						

NHS CREDITORS

Month	Total paid n	Invoices paid on time n	paid within target %	total paid £	value paid on time £	paid within target %
Apr-13	12	12	100.0%	20,107,497	20,107,497	100.0%
May-13	18	14	77.8%	21,107,430	20,993,947	99.5%
Jun-13	35	29	82.9%	22,007,192	21,940,575	99.7%
Jul-13	182	175	96.15%	23,120,732	23,069,670	99.78%
Aug-13	326	312	95.71%	16,010,782	15,877,055	99.16%
Sep-13	249	232	93.17%	25,393,252	25,291,675	99.60%
Oct-13						
Nov-13						
Dec-13						
Jan-14						
Feb-14						
Mar-14						

OUTSTANDING CREDITORS AS AT MONTH 6

	1-4 weeks n	1-4 weeks £	5-8 weeks n	5-8 weeks £	Over 9 weeks n	Over 9 weeks £	Total n	Total £
	As at 30 September 2013	256	28,628,632	42	312,026	78	285,915	376

FINANCE AGED DEBTORS REPORT

Aged Debt by Organisation - Summary	Current £	Days Overdue						Total £
		0-30 £	31-60 £	61-90 £	91-180 £	181-360 £	361+ £	
	344,314.97	0.00	0.00	0.00	0.00	0.00	0.00	344,315
CCG's	166,120.73	0.00	0.00	0.00	0.00	0.00	0.00	166,121
Councils	345,653.54	0.00	0.00	0.00	0.00	0.00	0.00	345,654
	856,089	0	0	0	0	0	0	856,089

Aged Debt by Organisation	Current £	Days Overdue						Total £
		0-30 £	31-60 £	61-90 £	91-180 £	181-360 £	361+ £	
NHS Commissioning Board	344,314.97	0.00	0.00	0.00	0.00	0.00	0.00	344,315
NHS Scarborough CCG	166,120.73	0.00	0.00	0.00	0.00	0.00	0.00	166,121
North Yorkshire County Council	116,056.04	0.00	0.00	0.00	0.00	0.00	0.00	116,056
City of York County Council	229,597.50	0.00	0.00	0.00	0.00	0.00	0.00	229,598
	856,089	0	0	0	0	0	0	856,089

QUALITY, INNOVATION, PRODUCTIVITY AND PREVENTION (QIPP)

Scheme	Original Target		Revised Target		Actual		Performance against project milestones	Performance against financial savings	FOT	
	CYE	FYE	CYE	FYE	CYE	FYE			CYE	FYE
	£'000s	£'000s	£'000s	£'000s	£'000s	£'000s			£'000s	£'000s
Better Care Better Values First to Follow-Up Ratios	3,092	3,902	3,902	5,203	3,902	3,902	- Agreed with provider and actioned in contract - Provider review presented for CCG clinical review	- Actioned within contract, slightly under trajectory for Q1 and Q2 £0.8m against £1m plan - Provider have requested recognition of work done and payment for work undertaken	3,902	3,902
Prescribing	945	945	945	945	232	232	- Schemes identified - Incentive scheme approved by Governing Body	- Delivering around 50%	945	945
Smoking Thresholds	1,710	0	0	0	0	0	- Dependent on RSS Delivery	- Non recurrent with effect from Q4 - Not fully quantified	500	
Pathology	500	500	500	1,200	0	0	- Benchmarking exercise on track	- Contract notification period may stop this in year	450	700
Contracting - Lucentis drug discount	100	400	400	400	400	400	- Agreed with drug company and now in contract	- Delivering	400	400
Out of area	125	0	125	375	0	0	- Risk sharing with other CCGs	- Savings identified, but split with other CCGs to be confirmed	300	400
Procedures of Limited Clinical Value		300	150	300	0	0	- RSS now live, all practices to be on by end of Nov	- Early indications are that we already sending referrals back. This needs to be quantified in Dec	250	400
Home Oxygen	101	133	100	200	0	0	- Not on Choose and Book - Reliant on single member of staff who has now handed in notice	- Analysing data to quantify savings	25	100
Dermatology	189	186	0	0	0	0	- Procurement now halted. Work on-going with current provider to optimise and refresh the delivery model			
Pain Management Service	22	81	0	0	0	0	- On hold. No savings expected from procurement.			
Post-Op Cataract Service	187	130	0	0	0	0	- On hold. Pending final review. No savings expected from community model			
Diabetes	87	257	0	0	0	0	- Work on-going. No savings this year, but potential efficiencies in 2014/15			
Heart Failure	35	71	0	0	0	0	- On hold. To be reviewed for 2014/15			
Rapid Response - Cellulitis	105	0	0	0	0	0	- Scheme currently being worked up with a view to making efficiencies for final quarter and 2014/15			
Psychiatric Liaison	150	300	0	0	0	0	- Under review, but not expected to make any savings this year			
Neurology	300	0	0	0	0	0	- Deep dive information pack expected mid-October, to be reviewed then for potential saving			
Frailty / Care Home initiative	150	0	0	0	0	0	- Project underway to review future model or primary care support to reduce emergency admissions			
Urgent Care Review	300	0	0	0	0	0	- OOH tender now approved to progress. No in-year savings, but efficiencies expected in future years			
High Impact Users	200	0	0	0	0	0	- Project being scoped to reduce number of high impact users on emergency pathways			
Respiratory Review	350	0	0	0	0	0	- Info pack expected by end of month with review for potential schemes to be completed			
YAS : ECP	250	0	200	800	0	0	- Pilot project being worked up in year to support winter pressures and longer term to reduce attendances to A&E			
Total	8,898	7,205	6,322	9,423	4,534	4,534			6,772	6,847