

Item Number: 6

**NHS VALE OF YORK CLINICAL
COMMISSIONING GROUP**

GOVERNING BODY MEETING



Vale of York

Clinical Commissioning Group

Meeting Date: 6 March 2014

Title: NHS Vale of York CCG Assurance Update

Responsible Chief Officer and Title

Rachel Potts
Chief Operating Officer

Report Author and Title

Lynette Smith
Head of Integrated Governance

Strategic Priority

Ensuring the CCG has the capacity and processes to deliver its statutory duties

Purpose of the Report

To present the CCG Assurance Framework as of the 14th February 2014, highlighting the most significant risks to the delivery of the organisations aims and programmes of work. The current framework identifies 21 significant risks (scores of 15 or above) to the CCG, 1 significant risk has been reduced to a 'Yellow' rating since December, relating to Section 136 requirements.

The 21 risks include 10 additional significant risks, and one increased risk which have been added from the departmental risk registers these are

- Potential Risk: Underestimating the needs of future provision for mental health services
- Potential Risk: Underestimating the needs of future provisions through the Community Services review
- Potential Risk: Not procuring Out of Hours provision at an effective safety and cost level
- Potential Risk: The CCG are not assured on the safeguarding of Vulnerable Adults
- Potential Risk: That there is insufficient capacity within the safeguarding team and Looked After Children's services to provide assurance to the CCG
- Potential Risk: Providers do not deliver improvement plans in response to CQC compliance concerns in a timely manner to ensure patient safety
- Potential Risk: Gaps identified in information and intelligence for quality and performance
- Potential Risk: Risk to patient safety around slips and falls within the York Teaching Hospital Foundation Trust
- Potential Risk: Delayed Transfers of Care pose a risk to an individual's health and wellbeing deterioration
- Potential Risk: Unavailability of IT infrastructure may impact on the ability of the organisation to delivery key objectives

Mitigating actions are in place to manage these risks. The increase in reported risk is in part due to the overview of the risk reporting process and a more systematic approach to recording risks across the organisation.

One significant risk has been realised, 2.6 The main provider will exceed its target for Healthcare Acquired Infections resulting in increased harm to patients'– this is now classified as an 'issue' that we are dealing with as the event has occurred. The review work on this is being led by the Quality and Performance Team in conjunction with the hospital.

Recommendations

That the Governing Body notes the Assurance Framework and considers any additional mitigation action to address the significant risks.

Impact on Patients and Carers

The Assurance Framework identifies potential risks to the delivery of the NHS Vale of York CCGs aims and programmes of work, which include access to and the quality of health care services. It is important risks are managed and wherever possible mitigated to reduce any impact on patients.

Impact on Resources (Financial and HR)

If the identified risks relating to finance are realised, this will have an impact on the financial resource for the CCG moving forward.

Risk Implications

It is important to keep a focus on the risks identified within the CCG Assurance Framework to ensure all action is taken to reduce the likelihood of the risk occurring.

Equalities Implications

N/a

Sustainability Implications

N/a